

Appendix I

We wish to relate the coefficients B, B_1 , in Eq. (IV-4) to A and A_1 in Eq. (IV-1). For small warping we may express the energy in the form

$$E = \frac{\hbar^2 k_o^2}{m^*} \left[\frac{1}{2} (k/k_o)^2 + r (k/k_o)^4 Y_4(\theta, \phi) + s (k/k_o)^6 Y_6(\theta, \phi) \right] \quad (A-1)$$

which is just Eq. (I-4) with $s = rt$.

Furthermore

$$\left(\frac{\partial E}{\partial k} \right)_{E_F} = \frac{\hbar^2 k_o^2}{m^*} \left[\left(\frac{k}{k_o} \right) + 4r \left(\frac{k}{k_o} \right)^3 Y_4(\theta, \phi) + 6s \left(\frac{k}{k_o} \right)^5 Y_6(\theta, \phi) \right] \quad (A-2)$$

where the derivative is evaluated at the Fermi energy.

Now we know that

$$\left(\frac{\partial E}{\partial k} \right)_{E_F} \left(\frac{\partial k}{\partial E} \right)_{E_F} = 1 \quad (A-3)$$

in any direction in k space. Equation (IV-4) gives $\left(\frac{\partial k}{\partial E} \right)_{E_F}$.

We use the subscripts 1, 2, 3 to indicate the [100], [110], and [111] directions: the Kubic harmonics and $\left(\frac{k}{k_o} \right)$ are evaluated in these directions. For example

$$k_1 = \left(\frac{k}{k_o} \right)_1 = (1 + A + A_1) \quad (A-4)$$

using $Y_4 [100] = Y_6 [100] = 1$ in Eq. (IV-1).

Let us introduce the notation

$$\left[\left(\frac{k}{k_o} \right)_i + 4r \left(\frac{k}{k_o} \right)_i^3 Y_4(i) + 6s \left(\frac{k}{k_o} \right)_i^5 Y_6(i) \right] = \alpha_i(A, A_1, r, s) \quad (A-5)$$

where i runs from 1 to 3.

Substituting Eqs. (A-2), (A-5) and (IV-2) into Eq. (A-3) we obtain

$$(1 + B + B_1) \alpha_1 = \left(1 - \frac{B}{4} - \frac{13}{8} B_1 \right) \alpha_2 = \left(1 - \frac{2B}{3} + \frac{16}{9} B_1 \right) \alpha_3 \quad (A-6)$$

From this we obtain two linear equations for B and B_1 whose solution is

$$B = \frac{\begin{vmatrix} (a_2 - a_1) \left(a_1 + \frac{13a_2}{8}\right) \\ (a_3 - a_1) \left(a_1 - \frac{16a_3}{9}\right) \end{vmatrix}}{\begin{vmatrix} \left(a_1 + \frac{a_2}{4}\right) \left(a_1 + \frac{13a_2}{8}\right) \\ \left(a_1 + \frac{2a_3}{3}\right) \left(a_1 - \frac{16a_3}{9}\right) \end{vmatrix}}$$

$$B_1 = \frac{\begin{vmatrix} \left(a_1 + \frac{a_2}{4}\right) (a_2 - a_1) \\ \left(a_1 + \frac{2a_3}{3}\right) (a_3 - a_1) \end{vmatrix}}{\begin{vmatrix} \left(a_1 + \frac{a_2}{4}\right) \left(a_1 + \frac{13a_2}{8}\right) \\ \left(a_1 + \frac{2a_3}{3}\right) \left(a_1 - \frac{16a_3}{9}\right) \end{vmatrix}}$$

The a_i depend on r and s of Eq. (A-1); we now obtain these. We substitute

$$\left(\frac{k}{k_0}\right) = 1 + A Y_4(\theta, \phi) + A_1 Y_6(\theta, \phi) = k_i \quad (\text{IV-1})$$

into the expression for the energy, Eq. (A-1). The energy must be constant on the Fermi surface. By requiring the energy in the three principal directions to be the same, we obtain

$$\begin{aligned} \frac{1}{2} k_1^2 + r k_1^4 + s k_1^6 &= \frac{1}{2} k_2^2 - \frac{1}{4} r k_2^4 - \frac{13}{8} s k_2^6 = \\ \frac{1}{2} k_3^2 - \frac{2}{3} r k_3^4 + \frac{16}{9} s k_3^6. \end{aligned} \quad (\text{A-7})$$